



# Environment and Social Management System

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## Pro-ADAPT PROJECT

- INITIAL SCREENING (IS)
- ENVIRONMENT AND SOCIAL ACTION PLAN (ESAP)

Prepared by | Christian Raoul Ouedraogo Program Officer: ..... DATE: 05/10/2023.

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# AGRA Environmental and Social Management System

## Initial E&S Screening Report

### Pro-ADAPT Project – Burkina Faso

#### Introduction

AGRA recognizes the environmental and social (E&S) risks that come because of its interventions and project activities. It is in this regard that AGRA has developed an Environment and Social Management System (ESMS) to identify, manage and mitigate risks that are inherent with its work. AGRA's main instrument to achieve its mission is through the allocation of grants to implementing partners. E&S risks, for each grant need to be managed and reported on appropriately as per approved policy and tools. The ESMS has therefore been designed to account for and manage risks, at the strategic and at the grant levels. The ongoing project the **Pro-ADAPT project** has therefore been screened to identify risks and design appropriate mitigating actions.

#### Methodology

The screening process was undertaken through the review of the project proposal document and other related analytical project reports, and detailed/focus group discussions with consortium/implementation member organizations. Project managers and project technical officers were also interviewed on specific project interventions and the corresponding risks that they pose. The AGRA project officers were also interviewed.

The screening was undertaken using AGRA's E&S screening tools that are based on the applicable IFC E&S performance standards follows:

- i. PS1 - Capacity to assess and manage environmental and social risks, and impacts. Assessment criteria include but are not limited to the following: availability of policies, procedures, internal technical capacity etc. for E&S management.
- ii. PS 2 - Labor and working conditions risk such as compliance with occupation and safety regulations and requirements and general employee welfare, non-discrimination and equal opportunity
- iii. PS 3 - Resource efficiency and pollution prevention – assessment indicators include issues of soil contamination, pollution of water, soil or air (eg through pesticides/agrochemicals or fertilizer application), sediment loads, storm water, agriculture waste management/minimization
- iv. PS 4 - Community health, safety, and security risks- screening was based on the project influence on communities such as traffic in construction activities (if applicable), water contamination and so on. Health and safety risks and mitigation issues such as availability of emergency response plans, data and information on frequent disasters such as floods, wild fires, pandemics etc.
- v. PS 5 - Land acquisition and involuntary resettlement issues and risks. Assessment of this risk category involves processes, inclusivity, consultations put in place to ensure mitigation on [further] marginalizing communities due to displacement.
- vi. PS 6 - Biodiversity Conservation and Sustainable Management of Living Natural Resources risks that may arise from vegetation clearing from expansion of agriculture land and or new operational areas for SMEs
- vii. PS 7 - Indigenous Peoples' rights and well-being risks that may be a consequences of project activities, expansion and or encroachment that could lead to marginalization.

viii. PS 8 - Cultural Heritage-whether there has been any violation of cultural heritage and interference by project activities.

### Risks Categorization

All identified risks are classified into one of the following three categories “High”, “Medium” or “Low”, as per risk guidelines in the ESMS Manual, according to their potential adverse effects on environmental and social issues, in the project areas and beyond. Impacts and risks may potentially be adverse because of the complex nature of projects, the scale (large to very large), the sensitivity of the location(s) of the project. Other issues that will determine the risk categorization level include: risks being irreversible or unprecedented, including having adverse impact important features such as tropical forests, natural protection areas, sensitive wetlands, natural/near-natural forests, important cultural heritage sites significance on transboundary impacts, high consumption of resources, in particular soil, land or water, and in resource-scarce areas, associated with high risks to human health or safety, pollution and harmful emissions.

*[Please provide information on the proposed project as detailed as possible for the Project Concept stage, making relevant assumptions of reasonably possible impacts and risks associated with the project concept] [Please refer to Table 3-1 of the ESMS for examples of risks].*

### **Project Description**

**Project Name:** Pro-ADAPT. The project aims to promote NTFP in order to increase incomes and improve food security and resilience to climate change of smallholder farmers in the Hauts-Bassins, Cascade, Boucle du Mouhoun and Centre-Ouest regions. The key activities which will be implemented are around the preservation, sustainable management, use and consumption of NTFP. These activities will mainly target poor households, women and youth in the targeted intervention regions in Burkina Faso. The project is focused on two objectives:

**Objective 1:** Increased capacity of small holder farming households and agricultural systems to better prepare for and adapt to shocks and stresses. Under this objective the project will reduce the agricultural volatility through the establishment of 11 nutrition gardens for the benefit of 10 000 women and young, 10 NTFP parks under sustainable management and sensitized 40,000 smallholder’s farmers on NTFP consumption.

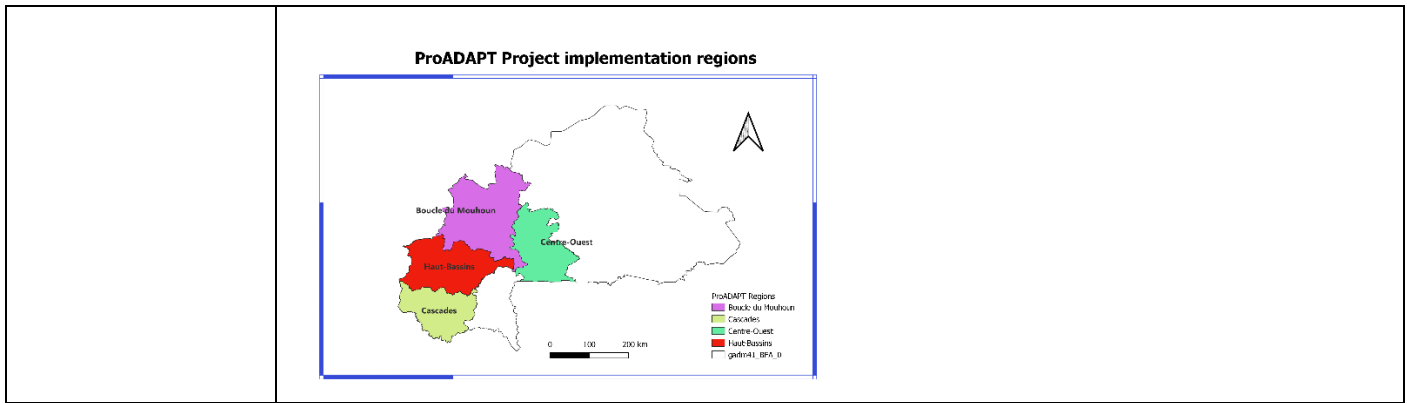
**Objective 2:** Strengthened and expanded access to output markets of NTFP for 20,000 rural women and youth through the promotion of NTFP. This objective aims to Increased use of structured markets for non-timber forest products by 20,000 rural women and youth through the establishment of 400 Village Tree Enterprises (VTEs) and the provision of support to 20,000 smallholder farmers to access market and inclusive finance services.

*All questions were applicable and relevant to the ESMS screening process.*

*[Please use as much space as possible and provide details and remarks on missing information as possible]*

### **Basic information about the project**

Project title:	Pro-ADAPT project
Project location (Country/Province)	Hauts-Bassins, Cascade, Boucle du Mouhoun and Centre-Ouest régions of Burkina Faso



Report completed by Ouedraogo Christian Raoul

Please provide the contact details of the responsible person(s) responding to this questionnaire for further communication:

Post:	Name and surname:	Phone Number:	Email address:
Director of Program	Georges Bazongo	74208181	georges.bazongo@treeaid.org
Coordinator	Traore Kalifa	70779485	kalifa.traore@treeaid.org

**Project Summary – Sector, Description, E&S Risks**

<p>Sector: (tick all that apply)</p>	<ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Crop cultivation</li> <li><input checked="" type="checkbox"/> Soil health and fertility</li> <li><input type="checkbox"/> Seed Production</li> <li><input type="checkbox"/> Crop protection (pesticide production &amp; use)</li> <li><input checked="" type="checkbox"/> Agricultural machinery</li> <li><input checked="" type="checkbox"/> Agricultural research &amp; development</li> <li><input checked="" type="checkbox"/> Markets/Retail operations and distribution</li> <li><input checked="" type="checkbox"/> Policy and Partnerships</li> <li><input checked="" type="checkbox"/> Gender Equality</li> <li><input checked="" type="checkbox"/> Extension Services</li> <li><input checked="" type="checkbox"/> Agronomy</li> </ul>
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## Project Summary – Sector, Description, E&S Risks

<p><b>Project Description:</b></p>	<p><i>Please provide a brief project description. The summary can be in form of bullet points. Include goal/objectives, expected results/outcomes, outputs and main activities:</i></p> <p>Enhance the resilience to climate change and improve food security and income of 210,000 smallholder farmers in the Hauts-Bassins, Cascade, Boucle du Mouhoun and Centre-Ouest regions.</p> <p>The investments include agroforestry practices promotion to support productivity enhancement for 210,000 smallholder’s farmers. The project will improve their access to good quality inputs including organic fertilizer, and resilient seed varieties for the targeted crops (Maize, sorghum, rice and cowpeas). The project will also promote non-timber forest products and shade tolerant crops for income diversification. The promotion of multi-purpose trees like <i>Gliricidia sepium</i>, <i>Cajanus cajan</i> will contribute to soil health enhancement and fodders production to support a good integration of crops and livestock productions.</p> <p>The consortium members that are responsible for the implementation of the project include:</p> <ol style="list-style-type: none"> <li>i. Tree Aid (lead),</li> </ol> <p>Other partners includes:</p> <ol style="list-style-type: none"> <li>1. Ministry of environment</li> </ol> <p><i>If applicable: Please describe the overall land requirement for the direct project activities/facilities (including associated facilities<sup>1</sup>), current land use and how this land will be acquired:</i></p> <p>The project will operate on existing agricultural lands.</p>											
<p><b>Project’s E&amp;S Risks:</b></p>	<p><i>Please provide a summary of the main E&amp;S Risks that were identified within this questionnaire (complete questionnaire first):</i></p> <p>The E&amp;S risks were summarized and presented as follows:</p> <table border="1" data-bbox="323 1167 1495 1825"> <thead> <tr> <th data-bbox="323 1167 520 1234"><b>Topic:</b></th> <th data-bbox="520 1167 1495 1234"><b>Identified Risk/ Issues etc.:</b></th> </tr> </thead> <tbody> <tr> <td data-bbox="323 1234 520 1323">E&amp;S Management</td> <td data-bbox="520 1234 1495 1323">- Limited capacity of the grantee on environmental and social cycle management (E&amp;S).</td> </tr> <tr> <td data-bbox="323 1323 520 1480">Labor &amp; Working Conditions</td> <td data-bbox="520 1323 1495 1480"> <ul style="list-style-type: none"> <li>- The grantees have a Human Resources (HR) policies and have been audited by AGRA.</li> <li>- There is potential risk from the inappropriate use of NTFP processing equipment by project beneficiarie</li> </ul> </td> </tr> <tr> <td data-bbox="323 1480 520 1659">Resources &amp; Pollution</td> <td data-bbox="520 1480 1495 1659"> <ul style="list-style-type: none"> <li>- The production of vegetables may require the use of chemical fertilizers or pesticides that can pollute the water.</li> <li>- The use of processing equipment can present risks of noise and environmental pollution (drain oil and fuel) if they are not properly maintained</li> </ul> </td> </tr> <tr> <td data-bbox="323 1659 520 1825">Community Health &amp; Safety and Security</td> <td data-bbox="520 1659 1495 1825">- Improving productivity will increase the quantities processed and released for consumption, thus increasing the number of consumers exposed to the risk of intoxication if production and processing conditions are not good.</td> </tr> </tbody> </table>		<b>Topic:</b>	<b>Identified Risk/ Issues etc.:</b>	E&S Management	- Limited capacity of the grantee on environmental and social cycle management (E&S).	Labor & Working Conditions	<ul style="list-style-type: none"> <li>- The grantees have a Human Resources (HR) policies and have been audited by AGRA.</li> <li>- There is potential risk from the inappropriate use of NTFP processing equipment by project beneficiarie</li> </ul>	Resources & Pollution	<ul style="list-style-type: none"> <li>- The production of vegetables may require the use of chemical fertilizers or pesticides that can pollute the water.</li> <li>- The use of processing equipment can present risks of noise and environmental pollution (drain oil and fuel) if they are not properly maintained</li> </ul>	Community Health & Safety and Security	- Improving productivity will increase the quantities processed and released for consumption, thus increasing the number of consumers exposed to the risk of intoxication if production and processing conditions are not good.
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<sup>1</sup> According to IFC PS1: Associated facilities are facilities that are not funded as part of the project and that would not have been constructed or expanded if the project did not exist and without which the project would not be viable.

**Project Summary – Sector, Description, E&S Risks**

Land Acquisition & Resettlement	- No new land will be required as project activities will occur on existing farmland
Biodiversity & Natural Resources	- Access to the means of production and the existence of a market can encourage the overexploitation of forest resources
Indigenous People	- No risks identified. - No indigenous people in the area
Cultural Heritage	- No risks identified. - No known cultural sites exist in the project sites

	SCREENING QUESTION		REMARKS
<b>A</b>	<b>Project Siting (Location/Setting)</b> Does the project impact areas adjacent to or within any of the following sensitive areas?		<i>If yes, please provide details of various project components</i>
1	Legally protected area and cultural sites (e.g., forest reserve, National Park, Ramsar site, archeological site, traditional/sacred site etc.).	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	The project will continue to protect sites with high NTFP potential
2	Environmentally sensitive areas or critical habitats (such as primary forests, wetlands, mangrove, estuaries)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	The project will help restore and rationally exploit degraded areas
3	Known areas of historical/cultural/archaeological interest	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
4	Areas prone to natural disasters or places of cultural and social interest	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
<b>B</b>	<b>Potential Environmental and Social Impacts</b>		
<b>B.1</b>	<b>PS 1 - Assessment and Management of Environmental and Social Risks and Impacts</b>		<b>Remarks</b>
	Does local legislation require an Environmental (and/ or Social) Certificate? <i>If yes, please also attach copy of approved Environmental and Social Impact Assessment?</i>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	- The project will work with the administration to link the environmental impact notices on the sites to be developed.
	Does the project/grantee have any existing E&S management plans (including emergency response plan) in place?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	- Please list them if applicable

	Does the project/ grantee have a Stakeholder Engagement Plan?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	-
	Does the project/ grantee have a Grievance Mechanism in place?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	- AGRA has set up a functional grievance management mechanism with a whistleblowing system. The details of these mechanisms will be made available to all stakeholders and benefit.
	Does the project/ grantee have an E&S monitoring plan in place?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	- E&S management is part of the monitoring and evaluation framework. E&S activities or actions will be monitored and reported quarterly.
	Are there currently any reputational issues or negative media coverage of the project's sector in relation to E&S impacts?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please reference details and information sources:</i> -
	Does the project involve the cooperation with external agencies (eg Extension Officers) that work within the communities affected by the project?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Village based advisors, Agricultural Officers,
<b>B.2</b>	<b>PS 2 - Labor and Working Conditions</b>		<b>Remarks</b>
	Are there particular labour/ social risks associated with the project activities and its primary supply chains <sup>2</sup> ?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Improper use of phytosanitary products such as pesticides can lead to possible accidents on children's health
	Are there measures in place to ensure the project complies with current national labour regulations? Please also consider additional national regulations in terms of forced or child labour.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	- Please note any differences between contractors, contract growers, permanent/direct employees, migrant workers, third parties (e.g. extension agents), use of registered pesticides, compliance with the laws governing the introduction of improved seeds and pesticides
	Are there measures are in place to ensure project complies with national occupational health and safety regulations?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Please note any differences between contractors, contract growers, permanent/direct employees, migrant workers, third parties (e.g. extension workers)
	Does the project/ grantee have a Human Resource Policy in place?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> RAS <input type="checkbox"/>	<i>If there is nothing to report, explain why:</i>  No information at this time. To be verified with the Project Management Unit
<b>B.3</b>	<b>PS 3 - Resource Efficiency and Pollution Prevention</b>		<b>Remarks</b>
	Will the project involve any land clearance or construction activities?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please specify the main expected E&amp;S risks: take into account dust, noise, water pollution, waste generation,</i>  - The target areas of the project are

<sup>2</sup> According to IFC PS 2: Supply chain refers to both labor and material inputs for the life-cycle of a good or service.

		RAS <input type="checkbox"/>	existing areas
	Will the project involve use, transport, storage of hazardous materials and/ or hazardous wastes? (including agrichemicals)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<i>If yes, please specify:</i>  Pesticides and fertilizers  <i>Please elaborate on risks and health and safety management in the community in section B.4</i>
	Please describe potential pollution impacts resulting from the project.  Consider impacts on air, surface and ground water, soils, noise and vibrations, for example: - Water use from surface or groundwater bodies. - Pollution due to poor use of agrichemicals - Contamination of soils through poor waste management practices		Risk of water pollution due to misuse of chemical fertilizers  - The use of processing equipment can present risks of noise and environmental pollution (drain oil and fuel) if they are not properly maintained
	Does the project/ grantee include resource management system or measures to address these impacts (e.g. with regard to fertilizer management, land management, inputs management and waste minimization, energy efficiency and machinery management etc.)?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<i>If yes, please specify:</i>  - Training and supervision of stakeholders in the choice and use of agrochemicals
<b>B.4</b>	<b>PS 4 - Community Health, Safety, and Security</b>		<b>Remarks</b>
	Are there measures in place to ensure the project complies with national community health, safety and security regulations?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<i>If yes, please specify:</i>  The project recommends approved products and works in collaboration with the services of the Ministry of Agriculture
	Are there measures in place to manage potential E&S risks of use of agrochemicals/ fertilizers?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> RAS <input type="checkbox"/>	<i>If yes, please specify:</i>  Training is provided by the agents of the regional directorates concerned in the use of approved pesticides and the quality control of agricultural inputs
	Please describe potential community health & safety impacts resulting from the project.  Consider impacts on local communities, road traffic for example: - Increased risk of traffic accidents due to transport requirements. - Storage of hazardous materials close to domestic households. - Noise and disturbances affecting local	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<i>If yes, please specify:</i>  Risk of contamination of drinking water sources by the storage of phytochemicals or agrochemicals



	communities. - Removal of areas providing “ecosystem services <sup>3</sup> ”.		
<b>B.5</b>	<b>PS 5 - Land Acquisition and Involuntary Resettlement</b>		
	Does the project have the potential to cause physical resettlement or economic displacement (i.e. displacement of people from homes and/or disturbance of economic activities/livelihoods/businesses)?  Consider also associated facilities, access restrictions and property value loss.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please elaborate and describe measures to mitigate these impacts:</i>
	Will the project have negative impact on vulnerable groups (eg the poor, female headed households, people with physical disabilities, children etc)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please specify:</i>  The project aims to improve the well-being of vulnerable groups
<b>B.6</b>	<b>PS 6 - Biodiversity Conservation and Sustainable Management of Living Natural Resources</b>		
	Will the project involve any vegetation clearing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please specify the scale and habitat that will be destroyed:</i>  Carry out compensatory reforestation. Saving certain plant species in the fields
	Will the project involve any agricultural soil management techniques, application of fertilizer or pest management?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<i>If yes, please specify how the project will avoid negative changes in habitats and species composition:</i>  The trainings are provided with follow-up by the agricultural agents  The project will promote biopesticides, compost, biochar
	Will the project introduce new seed varieties, hybrids, genetically modified crops (GM) or other non-native plant species that have the potential to impact the local biodiversity?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please specify, in particular, how the project will manage these impacts:</i>  Adequate information and training will be provided as recommended depending on the variety

<sup>3</sup> According to IFC PS 6: Ecosystem services are the benefits that people, including businesses, derive from ecosystems. Ecosystem services are organized into four types: (i) provisioning services, which are the products people obtain from ecosystems; (ii) regulating services, which are the benefits people obtain from the regulation of ecosystem processes; (iii) cultural services, which are the nonmaterial benefits people obtain from ecosystems; and (iv) supporting services, which are the natural processes that maintain the other services.

<b>B.7</b>	<b>PS 7 - Indigenous Peoples</b>		
	Is the project site in an area inhabited by or important to indigenous tribal or traditional peoples? E.g. forest dwellers, hunter-gathers, pastoralists and other nomadic groups.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please elaborate:</i>
	Even if indigenous groups are not found at the project sites, is there still a risk that the project could affect the rights and livelihood of indigenous peoples?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please elaborate:</i>
<b>B.8</b>	<b>PS 8 - Cultural Heritage</b>		
	Are any known areas within the project area with archaeological, paleontological, historical, cultural, artistic, and religious value (e.g. burial sites, buildings or monuments, sacred natural sites, ceremonial areas)?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	<i>If yes, please name the specific site and potential impacts:</i>
<b>C</b>	<b>Climate Adaptation Screening</b>		
<b>C1</b>	<b>Location and Design of the Project</b>	<b>Score<sup>4</sup></b>	<b>Description of risks and adaption planning activities<sup>5</sup></b>
	Are project activities and/or particular aspects of the project likely to be affected by (a change in) climate conditions including extreme weather-related events such as floods, droughts, storms, landslides?	1	<i>Provide description</i>  Risk of yield effects due to variations in rainfall (flooding and drought) phytosanitary situation (armyworm, desert locust, seed-eating birds)
	Will project activities be located in regions that are expected to experience particular changes in future climate conditions including extreme weather?	0	
	In case of construction of facilities - would the facility be impacted by any hydro-meteorological parameters (e.g., sea- level, peak river flow, reliable water level, peak wind speed etc.)?	0	No constructions planned
<b>C2</b>	<b>Materials and Maintenance</b>		
	In case of operation of facilities - would weather, current and likely future climate conditions, and related extreme events likely affect the maintenance (scheduling and cost) of the facilities?	0	The extreme weather events might make many farmers to cope through supplementary irrigation practices and this would increase costs of production
<b>C3</b>	<b>Performance of project outputs</b>		
	Would weather, current and likely future climate conditions or hydro-meteorological parameters (e.g. prevailing humidity level, temperature contrast	1	Extreme weather events might cause changes such as increased durations of dry spells this

<sup>4</sup> Answer by assigning a score from 0 to 2, where 0 = Not Likely; 1 = Likely; 2 = Very Likely.

<sup>5</sup> If possible, provide details on the sensitivity of project components to climate conditions, such as how climate parameters are considered in the design of standards for project components, how changes in key climate parameters and sea level might affect the project as a whole or specific parts of it etc.

	between hot summer days and cold winter days, exposure to wind and humidity, extreme weather conditions) negatively affect the objectives of the project over the life of the project?		might reduce yields. Flooding might cause loss of harvests
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**Climate Adaptation Score Evaluation:**

Responses when added and averaged provide a score of 1 ranks the project as a Medium-Risk project to matters of climate change (adaptation and mitigation).

- 1-5 (which include a score of 2 was given to four responses while a score of 0 was given to one response) – this ranks the project as a medium risk project.
- 6 or more (which include providing a score of 1 in all responses or a 2 in any single response) will rank a project as a high-risk project.

**Result of Climate Adaptation Screening (Low, Medium, High): *Medium***

**Other Comments:**

Overall, the Project [ProADAPT] has been classified as a **Low to Medium Risk** or **Category B** project whereby no or only minor adverse environmental and social impacts or risks are arising from the implementation and operation of the project and does not require significant action, protection, compensation or monitoring measures.

The grantees rely on various internal processes, including AGRA’s compliance requirements to manage project E&S risks such as aligning with AGRA’s E&S policy and E&S management actions. There is a need to resources and put in place a well elaborated E&S monitoring system to track pertinent E&S issues, and the use of a grievance mechanism.

As part of E&S risk management and monitoring, it is recommended that AGRA continue to support partner organizations in the installation of E&S risk management capacities in their organizations.

Further, as part of the ESMS implementation it is recommended to include ESMS policy/system and grievance mechanism in the organizational capacity assessment in the pre-grant process.

**AGRA Burkina Faso**  
**Environmental & Social Action Plan (ESAP) for the Environment and Social  
Management System Implementation**

**Project Title:** Pro-ADAPT PROJECT - Burkina Faso

**Project Number:** 2022 BF 002

### **Introduction**

AGRA recognizes the environment and social (E&S) risks that come because of these interventions and development activities. It is in this regard that AGRA has developed an Environment and Social Management System (ESMS) to identify, manage and mitigate risks that are inherent with its work.

AGRA's main instrument to achieve its mission is through the allocation of grants to implementing partners. The management of E&S risks, for each grant is mandatory. AGRA's ESMS therefore, been designed to account for and manage risks, at the strategic and at the grant levels. The on-going project of enhancing resilience and upscaling of gender inclusive rural economy for increased productivity, livelihoods and food security have been screened of the inherent E&S risks and corresponding mitigation measures identified. This action plan outlines key activities required to mitigate and manage the identified E&S risks.

### **Methodology**

The action plan draws reference from international best practice, specifically the IFC performance standard which comprise efficient techniques, methods, processes, and technologies of action plans which included:

- Specific descriptions of the identified actions and measures to be undertaken in implementation of the ESMS.
- Each action specifically addressed the gaps identified, with reference to the IFC Performance Standard 6. reference.
- Each action was assigned to an appropriate responsible person or entity.
- Each action was be linked to timeframe for completion. We considered the steps within the grant decision-making process and project design and implementation schedule.
- The actions ought to include defined elements e.g., documentation, audit to demonstrate or indicate their completion e.g., documented stakeholder engagement plan.
- The actions ought to be prioritized such that the more urgent gaps are closed sooner and obtain greater attention.

Table 1: Environmental & Social Action Plan (ESAP) for ESMS implementation

IFC Performance Standard	Actions	Priority	Responsible person(s)	Timeframe for Completion	Monitoring/ Completion indicator	Assets required
<i>IFC Performance Standard No</i>	<i>Identified E&amp;S mitigation measure</i>	<i>Low/ Medium/ High</i>	<i>Responsible staff or in case of consortium applications responsible grantee</i>	<i>Timeline for implementation</i>	<i>KPI to be measured at the specified timeframe</i>	<i>Equipment, money, skills</i>
PS 1. Assessment and Management of Environmental and Social Risks and Impacts	<ul style="list-style-type: none"> <li>Train stakeholders on the texts governing the case of E&amp;S risks and ensure compliance with related texts by stakeholders in the context of their activities</li> </ul>	Medium	AGRA APO/PO in charge of the project	First six months of the project	Training materials List of participants Training reports	Technical assistance  Staff time and technical assistance
	<ul style="list-style-type: none"> <li>Train beneficiary producers on compliance with labor regulations as well as AGRA procedures (provisions of the labor code prohibiting child labor), Include in employment contracts between the grantees and producers, the need to ban child labor and forced labor</li> </ul>	Low	AGRA APO/PO in charge of the project	On inception of the project  And during implementation	Training materials  List of participants  Number of sessions Training reports	
	<ul style="list-style-type: none"> <li>Tree Aid will apply its experience working in the region and with women. We will engage local leaders and explain the need and benefits of nutrition garden for the benefits for their household nutrition.</li> </ul>	Low	Tree-Aid project implementation staff	3 <sup>rd</sup> quarter of the 1 <sup>st</sup> and 2 <sup>nd</sup> years	Number of meetings  Meeting report	

	<ul style="list-style-type: none"> <li>Tree aid will select site with water potential (existing water point) Only engage with drilling companies on the basis of positive drilling</li> </ul>	<i>Medium</i>	Tree-Aid project implementation staff	3 <sup>rd</sup> and 4 <sup>th</sup> quarter	Percentage of positive drilling	
	<ul style="list-style-type: none"> <li>Sensitization of the project beneficiaries and stakeholders (both direct and indirect) on the established AGRA grievance mechanism,</li> </ul>	Medium	AGRA APO/PO in charge of the project	First six months of the project	Meeting and training reports Print out Grievance mechanism details on project communication materials and posters	
PS 2: Labour and Working Conditions	<ul style="list-style-type: none"> <li>Tree Aid will build curbstone well</li> </ul>	<i>Medium</i>	Tree-Aid project implementation staff	3 <sup>rd</sup> and 4 <sup>th</sup> quarter	Percentage of well protected with curbstone	Grantee's Staff time
	<p>PPE (Personal Protective Equipment) for workers during the harvest and processing of NTFP and the use of agricultural machinery and processing equipment</p> <ul style="list-style-type: none"> <li>Raise workers' awareness of accident risk prevention measures,</li> </ul>	<i>Low</i>	Tree-Aid project implementation staff	Quarter 3 of year 1	Number and type of equipment	
PS 3: Resource Efficiency and Pollution Prevention	Train users on the techniques of use and maintenance of the machines to be made available to them	<i>Low</i>	Tree-Aid project implementation staff	First six months of the project	Training materials List of participants Training reports	Staff time

PS 4	Train producers on the use of fertilizers and pesticides approved by national regulations (For example, they must use the pesticides issued by the Sahelian Pesticides Committee (CSP) issued every year),	Low	Tree-Aid project implementation staff	Project inception meeting to include this element	Training materials	
	Train the steamer on the quality standards of food products and on the respect of the codex alimentarius		Tree-Aid project implementation staff	Quarter 2,3 and 4 of year 1	Training materials List of participants Number of sessions Training reports	
PS 5	Tree Aid will apply its experience working in the region and with women. We will engage the men in the community to discuss the benefits they and their household will have if they let their wife participate	Low	Tree-Aid project implementation staff	Quarter 1, 2, and 3 of the year 1	Number of meetings Meeting report	
PS 5	Enterprise members will be trained on general and financial management. They will also receive technical backstopping training mentoring. Regular monitoring and support will be provided to ensure additional training needs will be met during the project	Medium	Tree-Aid project implementation staff	Quarter 2 and 3 of year 1 and year 2	Training materials List of participants Number of sessions	

					Training reports	
PS 5	Tree Aid will train VBA in baobab and moringa seed collect and treatment. VBA will supply seed for nutrition garden.	<i>Medium</i>	Tree-Aid project implementation staff	Quarter 2,3 and 4 of year 1	Training materials  List of participants  Number of sessions Training reports	
PS 5	Nutrition garden will be protected by enclosure	Medium	Tree-Aid project implementation staff	3 <sup>first</sup> quarter	Number of gardens protected,  Length of fences	
PS 6: Community Health, Safety and Security	<ul style="list-style-type: none"> <li>Carry out compensatory reforestation. Save certain plant species in the fields</li> </ul>	<i>Low</i>	Tree-Aid project implementation staff  Beneficiaries	First nine months of the project	Number plants	Staff time