

**Definition of Environmental and Social Safeguard Categorization
Alliance for a Green Revolution in Africa (AGRA)**

Categorization Guideline

All projects will be classified into one of the following **three categories “A”, “B” or “C”**, according to the relevance of their potentially adverse environmental and social impacts and risks.

Category A. Projects will be classified as category A, if they may have diverse significant adverse impacts and risks on the environment and the social conditions of the affected population. Impacts and risks may potentially be significantly adverse because the complex nature of the project, the scale (large to very large), the sensitivity of the location(s) of the project or the impacts and risks are irreversible or unprecedented. Projects will be classified as Category A if they, for example,

- adversely impact important features such as tropical forests, coral reefs, natural protection areas, sensitive wetlands, natural/near-natural forests, important cultural heritage sites;
- have significant transboundary impacts or relevance with regard to international treaties (such as conventions on international waste management regulations or on marine conservation, or agreements on the protection of biodiversity);
- lead to a high consumption of resources, in particular soil, land or water, and in resource-scarce areas;
- are associated with high risks to human health or safety (e.g. including industry or traffic facilities located adjacent to residential areas with considerable noise pollution and harmful emissions during construction and/or operation or handling hazardous substances);
- require large scale resettlement or lead to a significant loss of livelihood;
- anticipated to have an adverse impact upon indigenous people.

Category B. Projects will be classified as Category B if they may have potentially adverse risks and impacts upon the environment and on the social conditions of those concerned. However, the impacts and risks may have a lesser extent than these of category A projects and can usually be mitigated through state-of-the-art mitigation measures or standard solutions. Typically, the potential impacts and risks of category B projects are limited to a local area, are in most cases reversible and are easier to mitigate through appropriate measures.

Category C. Projects will be classified as Category C if they are expected to have no or only minor adverse environmental and social impacts or risks and if the implementation and operation of the project does not require any particular protection, compensation or monitoring measures.

ENVIRONMENTAL / SOCIAL CATEGORIZATION FORM

A. Instructions

The E&S Officer (at country/regional level) completes and submits this for endorsement and for approval by the Regional Head and Country Manager. Please use the information from the Initial E&S and Climate Adaptation Checklist to justify the choice of the Project Category.

The classification of a project is a continuing process. If there is a change in the project components or/and site that may result in category change, the Regional E&S Officer must submit a request for re-categorisation. The old form is attached for reference.

The E&S Officer indicates if the project requires broad community support (BCS) of tribal peoples communities. BCS is required when project activities involve (a) commercial development of the cultural resources and knowledge of Indigenous Peoples, (b) physical displacement from traditional or customary lands; and (c) commercial development of natural resources within customary lands under use that would impact the livelihoods or the cultural, ceremonial, or spiritual use that define the identity and community of Indigenous Peoples.

B. Project Data

Sector:

- Crop cultivation
- Livestock production
- Seed Production
- Crop protection (pesticide production)
- Agricultural machinery
- Agricultural research & development
- Retail operations and distribution

Brief Description of the Project:

C. Subject

Environment

Involuntary Resettlement

Indigenous (Tribal) People

C. Categorization

New Re-categorisation – Previous Category

<input type="checkbox"/> Category A	<input type="checkbox"/> Category B	<input checked="" type="checkbox"/> Category C
D. Basis for Categorisation/ Re-categorisation (pls. attach documents):		
<input type="checkbox"/> Checklist and Type of Check List: <hr style="width: 30%; margin-left: 0;"/>		
<input type="checkbox"/> Project and/or Site Description: <hr style="width: 30%; margin-left: 0;"/>		
<input type="checkbox"/> Other (e.g. Due Diligence): <hr style="width: 30%; margin-left: 0;"/>		
E. Comments		
Regional E&S Officer:	Regional Head/Country Manager:	
F. Approval		
Proposed by:	Endorsed by:	
<hr style="width: 100%;"/>	<hr style="width: 100%;"/>	
Regional E&S Officer	Regional Head	
Date:	Date:	
	Endorsed by:	
	<hr style="width: 100%;"/>	
	Country Manager	
	Date:	