

ESMS - Annex 14

**Template for the E&S Performance Report
Alliance for a Green Revolution in Africa (AGRA)**

AGRA E&S Performance Report

Please provide responses to the questions below.

Please include additional sheets or attachments as required to provide details on questions that have been answered Yes.

AGRA is required to submit the performance report to its Cooperating Partners as per agreed terms and to keep a record of this for audit purposes. The E&S Performance Report is to be updated annually.

Name of Organization			
Completed by (name):			
Position in organization:		Date:	
Reporting period	From:		To:

A. PORTFOLIO INFORMATION FOR GRANTS AWARDED

Report Covering Period:				
From:			To:	
Name of Project	Tenor of grant (months)	Grant value (US\$)	Sub sector	Any outstanding environmental and social issues during reporting period and actions taken to address the issues

B. ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM (ESMS)

Policies & Processes	<i>Yes/No</i>	
Have there been any updates to the ESMS or policy and procedures adopted by your organization during the reporting period?		If yes, please provide a copy of the updates including dates and reasons for the same.
Has senior management signed off on the updated policy/procedure?		If yes, please provide the date and internal communication indicating the same.
Has your organization appointed staff tasked to implement the ESMS?		If yes, please provide information on number of staff and qualification (experience and education background) to implement the ESMS.
Please give details of any grants rejected due to environmental and/or social concerns.		
Please state any difficulties and/or constraints related to the implementation of the ESMS.		
Please describe how you ensure that your grantees and their projects are operated in compliance with the national laws and regulations and applicable international requirements (described in ESMS)		
Please give details of any material social and environmental issues associated with grantees during the reporting period in particular.		
In case the existing ESMS is not fully functional, what are the action plan being implemented by your organization?		Please provide information or cite recommendation made by Financial Partners review mission to improve the ESMS and its implementation.
Capacity	<i>Yes/No</i>	
Please provide the name and contact information of the E&S Manager who has the overall responsibility for the implementation of ESMS.		Please describe the training or learning activities the Environmental/Social Officer or Coordinator attended during the year.
Please provide current staffing of other core ESMS persons in the organization involved with ESMS implementation.		Please describe the training provided to the ESMS persons and other team members during the year.
What was the budget allocated to the ESMS and its implementation during the year?		Please provide budget details including staff costs and training as well as any actual costs.
Monitoring	<i>Yes/No</i>	
Do you receive environmental and social monitoring reports from grantees that you finance?		If yes, please describe and provide supporting documents including any social and environmental considerations if applicable.
Do you check for ongoing compliance of your grantees with national regulation and any other requirements?		If yes, please describe the process including any social and environmental considerations if applicable.
Please describe how you monitor the grantees and their project's social and environmental performance.		Please describe and provide supporting documents such as environmental and social monitoring reports and please provide information on the number of projects where a field visit was conducted by staff to review aspects including social and environmental issues.

Please provide details of any accidents/litigation/complaints/regulatory notices and fines: Any incidents of non-compliance with the applicable Environmental and Social Requirements Covenants/conditionalities imposed by the Project Officer to the grantee as a result of any non-compliance		
Reporting	<i>Yes/No</i>	
Is there an internal process to report on social and environmental issues to Senior management?		If yes, please explain the process, reporting format and frequency and actions taken if any.
Do you prepare any social and environmental reports: For other multilateral agencies Other stakeholders E&S reporting in the Report Sustainability reports		If yes, please provide copies of these reports.

C. GRANTS (TO BE FILLED OUT FOR EACH GRANT - DUPLICATE THE TABLE)

Issue	Remarks
Name of Project:	
Name of Grantee(s):	
Project location:	
Sub sector:	
Value of exposure (US\$ mn):	
Were any environmental and social due diligence undertaken and by your organization?	If yes, provide information on due diligence activities, such as desk review of safeguard documents, and field visit, and by whom.
Any Environmental Assessment Report (including Environmental Management Plan), Resettlement Action Plan, or audit reports reviewed by your organization?	If yes, please provide the names of documents reviewed.
What were the main environmental, involuntary resettlement and indigenous peoples issues associated with this project that were identified through due diligence conducted by your organization, and how were the issues dealt with (i.e., outcome of due diligence)?	
Did you discuss with the project the applicable environmental and social requirements and their implementation?	
For category A projects, were safeguards-related documents such as ESIA, RAP or audit reports made publicly available?	If yes, please provide website link and the date the documents were uploaded.
Were any conditions or covenants related to environmental and social issues made along with the grant?	If yes, please briefly describe.
Does the project comply with applicable government requirements?	
Does the project comply with applicable international E&S requirements contained within the AGRA ESMS?	